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# The effects of greening a parking lot as a heat mitigation strategy on outdoor thermal stress using fixed and mobile measurements: case-study project "tertiary forest"

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#### ABSTRACT

An asphalt-concrete parking lot was converted to a small urban forest with 72 trees and permeable pavement as an urban heat island countermeasure. Microclimate monitoring was performed pre- and post-renovation using fixed and mobile measurements. We propose a protocol to estimate robust statistical effects over 24 h constructed with several days of observation, and to compare the spatial distribution of heat stress pre- and post-conversion regardless of differences in weather conditions. Detailed effects on solar and infrared radiation, microclimate and pedestrian heat stress are assessed and tested for statistical significance. Great improvements of pedestrian heat stress are reported, especially in daytime, following the creation of shade which significantly reduces the radiative load of the area. UTCI-equivalent temperature is reduced up to 6.2 °C (3.1 °C on a 24h-average). Mobile measurements were used to characterize the spatial disparity of heat stress. Strong thermal discomfort is exhibited under direct insolation. At night, positive effects on pedestrian heat stress are slightly counterbalanced by an increase in infrared trapping due to the reduction of the sky view factor beneath the trees while wind speed is slowed. Recommendations are formulated for urban planners.

> sky view factor stat. sign. statistically significant

> > urban heat island

## **Notations**

absolute humidity [g/m<sup>3</sup>] AHL incident (downwards) infrared radiation (3 - 100 μm) [W/m<sup>2</sup>]

 $L_{up}$ upwards infrared radiation (3 - 100 μm) [W/m<sup>2</sup>] S incident (downwards) solar radiation (0.3  $-3 \mu m$ ) [W/m<sup>2</sup>]  $S_{up}$ reflected (upwards) solar radiation (0.3  $-3 \mu m$ ) [W/m<sup>2</sup>]

air temperature [ °C]

 $T_a^{control}$ air temperature at control site [ °C]  $T_g$ black-globe temperature [ °C] H/Wcanyon aspect ratio

MRTmean radiant temperature [ °C]

 $MRT - T_a$  radiative load [ °C]

PETPhysiological Equivalent Temperature [ °C]

RHrelative humidity [%] 1. Introduction

area

SVF

UHI

UTCI

 $\Delta UTCI$ 

In the past century, rural-to-urban migration has gradually increased until it reached the threshold of more than half of the world population living in urbanized areas in 2016 (Vardoulakis & Kinney, 2019). In the past decades, the growing number of urban dwellers along with the rise in population has led to rapid urbanization of the land. Urban areas

Universal-Thermal-Climate-Index-equivalent temperature [

thermal "over-stress": relative stress compared to a shaded

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present high densities of inhabitants, artificial surfaces, and scarcity of vegetation (Santamouris et al., 2015). These features primarily met for needs for practicality and walkability within cities. However, the higher share of used land has come with human-induced negative effects on environment, such as soil, water and air quality degradation or depletion of biodiversity (IPCC, 2019). At local scale, urban sprawl also modifies wind patterns, increase energy consumption and create or amplify existing urban heat islands (*UHI*) (Uttara et al., 2012). Concomitantly, climate change exacerbates this stresses and increases health risks and vulnerability (Mitchell et al., 2016; Garschagen & Romero-Lankao, 2015; Li et al., 2012). As a consequence, cities seek for guidelines for adapting urban planning policies in order to tackle these aspects. One major topic is that of heat mitigation to limit impacts on health and improve thermal comfort of the population in densely-built areas

A variety of urban cooling strategies exist, which usually act on one or several specific aspects of the energy balance of the city's lower atmosphere (Oke, 1982). For instance, cool urban materials counterbalance the negative impacts of dark impervious surfaces with a higher reflectivity/emissivity, modified thermal inertia or with pervious/green alternative surfaces (Nwakaire et al., 2020; Parison et al., 2020a; Parison et al., 2021). Energy efficiency methods can also be promoted, while the use of watering cooling techniques as well as greening techniques make up for the lack of vegetation in urban areas in general (Hendel et al., 2016; Santamouris, 2015). Among heat mitigation strategies, urban greening is undoubtedly one of the best-known and most efficient existing passive solution for cooling the urban microclimate (Li et al., 2022; Hiemstra et al., 2017; Akbari et al., 2001). In addition, urban greening also presents a huge number of co-benefits, such as improving the air and soil quality (Setälä et al., 2013), increasing local attractiveness and perceived quality of life (Sheets & Manzer, 1991), and helping preserve biodiversity (Pena et al., 2017; Shuaib et al., 2018).

Urban vegetation thus plays a substantial role on the improvement of outdoor thermal comfort, principally thanks to the shade and evapotranspiration it provides (Das et al., 2022; Zheng et al., 2021). Many studies have investigated the effects of trees, grass, parks, green roofs or façades on microclimate and thermal comfort. Kong et al. (2017) summarized and compared results of 21 studies (simulations and measurements) regarding the effects of trees. They report reductions of solar radiation (80 - 95%), air temperature ( $-1^{\circ}$  to 2  $^{\circ}$ C on average), mean radiant temperature (MRT) ( $-2^{\circ}$  to  $-47^{\circ}$ C, and  $-5.1^{\circ}$ C on average at pedestrian height), wind speed (15% - 80%), and Physiological Equivalent Temperature (PET) ( $-2^{\circ}$  to  $-20^{\circ}$ C), despite a reported increase of relative humidity (+5 to +31%). Overall, vegetation strongly reduces MRT following the creation of shade, leading to reduced heat stress (up to a few degrees on average), with small reductions of air temperature and negligible increase of relative humidity (Jia & Wang, 2021; Teshnehdel et al., 2020; Coccolo et al., 2018). The combined effect of tree layout and urban morphology on microclimate was also largely investigated, with the goal of orienting urban planners in green designs (Mahmoud & Abdallah, 2022; Azcarate et al., 2021; Abdi et al., 2020). Raman et al. (2021a) showed that wind-sheltered zones were high heat-stress areas and hence best beneficiaries to tree planting. Improvement of thermal comfort daytime is strongly dependent on foliage density (shade percentage), while other parameter such as height, planting density and layout with regard to wind patterns also plays a role (Raman et al., 2021b; Morakinyo et al., 2017).

In order to assess a site conversion's impact on microclimatic parameters, fixed measurements can be deployed for the evaluation of thermal comfort in the built environment with adequate microclimatic monitoring (Shashua-Bar, Pearlmutter, & Erell, 2011; Van Hove et al., 2015; Wang & Akbari, 2016). However, most of the time experimental studies are conducted after construction (Fu et al., 2022; Bowler et al., 2010; Takebayashi & Moriyama, 2009). Indeed, identifying sites early enough to prepare a measurement campaign before and after transformation can be challenging, hence meteorological monitoring ahead

of construction works is rare. Thus, most of the time such studies evaluate the current situation of the site without taking interest in the impact of the transformation of the area between pre- and post-states. Simulations however, usually facilitate this task (Milošević et al., 2017; Kong et al., 2017), with relevant greening performance indicators from an adequate assessment toolbox (Bouzouidja et al., 2021a).

Fixed measurements, when associated with a proper statistical procedure, allow for precise and robust estimation of the average impact of a site's transformation, as demonstrated in previous work (Parison et al., 2020b), but require heavy and permanent instrumentation for a long period of time. For this reason, in order to spatially mesh microclimate parameters in several areas with moderate constraints and costs, mobile measurements are often preferred over large networks, as they require less instrumentation (Leconte, Bouyer, Claverie, & Pétrissans, 2015; Pigliautile & Pisello, 2018; Qi et al., 2022; Qi, Meng, Wang, & Ren, 2021; Shi, Lau, Ren, & Ng, 2018; Sulzer, Christen, & Matzarakis, 2022). However, because fewer data samples are collected, mobile measurements are usually less robust than fixed measurements. While the latter allow for characterization of a complete day, the former focuses on a specific narrow time window during each mobile measurement campaign. Both approaches can be also be combined (Parece et al., 2016).

In this paper, we propose a comprehensive approach combining both measurement methods for various microclimatic parameters. The method is applied on a case-study project named "Tiertiary Forest", which converted a parking lot in the Paris Metropolitan Area (France) into a small urban forest with permeable concrete. This project and its construction schedule offered an opportunity to study the site before and after greening. To this aim, microclimatic measurements were conducted to determine the transformation's cooling effects. The goal of this paper is to propose a comprehensive methodology for assessing the microclimatic effects of an urban site's transformation, and to apply it on a case-study site to improve knowledge about urban greening on outdoor thermal comfort during the summer. Both methodological and assessment goals were set. Specifically, the following research angles were addressed:

## Fixed measurements:

- Estimate the effects over 24 h statistically, combining measurements pre- and post- conversion regardless of weather conditions
- Characterize the cooling impacts of the site's conversion where the most trees were planted, with emphasis on pedestrian heat stress and radiation

## Mobile measurements:

- Compare pre- and post-conversion states regardless of temporal drift and differences in weather conditions
- Evaluate the spatial distribution of heat stress over several areas of the site at different stages of the project

This paper presents results following the conversion of the site, i.e. after trees were planted and permeable concrete was laid. The conversion's impact on pedestrian heat stress is assessed using a statistical procedure, allowing for robust estimation of the effects during an average 24-h radiative summer day. In particular, effects are characterized for: solar and infrared radiation (incident and upwards), wind speed and wind direction, air temperature, relative and absolute humidity, radiative load, and heat stress (using *UTCI*). In addition, mobile measurements are used for spatial characterization of the site. A reference point is used to compare *UTCI* temperatures from mobile measurements gathered and compared at different stages of the project.

#### 2. Materials and methods

#### 2.1. Site description

The site chosen for the study is a privately-owned parking lot of about  $1200 \, \mathrm{m}^2$  for the occupants of a home for young workers in the municipality of Aubervilliers, located North of Paris (France). The conversion is part of a multidisciplinary project called "Tertiary Forest", involving climate and environmental scientists, architects and urban planners as well as local officials (Fieldwork Architecture, 2023). The project aims to transform the parking lot into a small urban forest, and is driven by the desire to mitigate heat and create a more pleasant outdoor space for the residents. Conversion of the parking lot took advantage of a newly built metro line close by, which permitted reducing the number of parking spaces.

The site is located in a heterogeneous urban area surrounded by tall buildings. It can be classified as LCZ 4 (open high-rise), with adjacent constructed areas falling under LCZ 2 (compact mid-rise) and a neighboring 2.5 ha park (LCZ B, scattered trees) to the North (Stewart & Oke, 2012) (see Fig. 3). Prior to renovation, the site offered no amenities for pedestrians, such as benches, shade or footpaths. It offered little to no vegetation apart from peripheral flowerbeds and sparsely-planted trees. The ground was paved with old impervious asphalt concrete with an albedo of 0.13 as measured per ASTM E1918-16 (2016). Photographs of the area in its initial state are shown in Fig. 1.

Considering the initial site's characteristics, it was a good candidate for conversion to a green area for heat mitigation. The site was completely redesigned into a pedestrian-friendly space for residents with benches, no longer accessible to vehicles. A total of 72 trees were planted with a higher density in the sunniest areas, creating a "tertiary forest". Trees were not planted into individual pits (as often done in urban spaces) to favor mycorrhization and connections between roots. The top asphalt concrete layer was entirely replaced with permeable concrete with an albedo of 0.24, and a passive underground water storage system collects rainwater. The permeability and water storage aim to improve soil fertility, vegetation health and growth. Although not replaced during conversion, the soil was also enriched with compost. Photographs of the area post renovation (2020) are provided in Fig. 2.

The project and its schedule offered a unique opportunity to monitor the site ahead of initial demolition works. Microclimatic measurements were conducted before and after renovation with two fixed weather stations installed by Météo-France. The case station is placed in the parking lot in an area where numerous trees were planted, while the control station is located on a rooftop approximately 30 m above the

parking lot, outside of the project's area of influence. Fig. 3 shows the fixed weather stations' positions and the project's conversion plan.

The sky view factor (*SVF*) was estimated using SAGA GIS modeling (function *Potential Incoming Solar Radiation*) (see Hendel et al., 2020) at the case station location at a value of 0.7 before and 0.2 after greening. Table 1 summarizes the main characteristics of the site.

The first measurements were performed during the summer of 2018 prior to demolition, while trees were planted and most of the asphalt concrete was removed after partial conversion in 2019 (with permeable concrete below the case station area while most of the rest remained bare, unsealed soil). Construction works were fully completed for the 2020 measurement campaign.

#### 2.2. Instrumentation

The weather stations monitor several microclimatic parameters continuously every minute at screen height: air temperature, relative humidity, black globe temperature and wind speed, in addition to long and shortwave upward and downward radiation fluxes, as well as a rain gauge near the control (rooftop) station. In addition to the fixed station measurements, mobile microclimatic measurements were also conducted in order to characterize the site's heat stress spatial variability. A photograph of the fixed and mobile weather stations is shown in Fig. 4.

Table 2 lists the instrument characteristics used for the fixed weather stations, while Table 3 lists that of the mobile weather station. Note that for the control rooftop station, the instrument height is about 30 m higher than the ground level.

## 2.3. Data treatment procedure

## 2.3.1. Fixed microclimatic measurements

The protocol we propose estimates the impact of the site's transformation each minute over 24 h, for an average summer day constructed from several observation days before and after conversion, regardless of the differences in weather conditions between days. The statistical test (fixed-effects model, described by Parison et al. (2020b)) uses a "Before-After-Control-Impact" (BACI) configuration, which requires sampling data both before and after transformation of the site at adequate case and control locations (Stewart-Oaten et al., 1986).

Assessment of the impact (for a given microclimatic parameter) is achieved by testing whether the interstation profile, i.e. the difference measured between case (parking lot) and control (rooftop) stations, changes once the transformation of the site is completed. This allows us to extract the impact of the transformation regardless of weather





Fig. 1. Photographs of the site before conversion in 2017.





Fig. 2. Photographs of the site after conversion in 2020 and 2021.

conditions and regardless of pre-existing differences between case and control stations, i.e. prior to the site's transformation. This is valid as long as the control remains unchanged after transformation. Here, the interstation profile at time t is monitored during two periods: before (in 2018) and after (in 2020 and 2021) the site conversion.

Only radiative days are considered for the analysis, occurring from June 1st to August 31st. They must present anticyclonic weather conditions: clear skies (<3 oktas), low wind speeds (< 3 m/s), and no rain. Radiative days preserve the small-scale modifying effects of the surface (Kousis et al., 2022). For this study, 13 days meet the criteria for radiative days in 2018 (before conversion) against 19 days in both 2020 and 2021 (after conversion).

Noting M the measured meteorological parameter of interest, the average impact  $\underline{I}$  of the conversion of the site on M is obtained by considering the difference between: i)-the interstation profile after conversion of the site, denoted  $\underline{\Delta M}_{after}$ , and averaged over  $n_{after}$  radiative days (here,  $n_{after} = 19$  days), and ii)-the interstation profile before conversion, denoted  $\underline{\Delta M}_{before}$ , averaged over  $n_{before}$  radiative days (here,  $n_{before} = 13$  days). This procedure is summarized in Equation (1):

$$\begin{split} \underline{I} &= \underline{\Delta M_{after}} - \underline{\Delta M_{before}} \\ &= \frac{1}{n_{after}} \left( \sum_{i=1}^{n_{after}} M_{case}^{i}(t) - M_{control}^{i}(t) \right) - \frac{1}{n_{before}} \left( \sum_{i=1}^{n_{before}} M_{case}^{i}(t) - M_{control}^{i}(t) \right) \end{split}$$

$$(1)$$

The null hypothesis is that of a non-existent average impact (I=0), which is then tested for statistical significance with a confidence interval of 95%. Observations are statistically significant (stat. sign.) if the null hypothesis is rejected, i.e. observations are outside of the margin of error. Otherwise, results are not stat. sign. (within the margin of error). The impact  $\underline{I}$  is estimated for each sampled minute, meaning that a total of 1440 statistical tests are performed in order to isolate the average effects of the site's conversion on a whole day.

Fig. 5 shows an example of meteorological data measured at the case station during radiative days (valid for procedure): global downwards and upwards solar radiation (resp. S and  $S_{up}$ ) as well as downwards and upwards infrared radiation (resp. L and  $L_{up}$ ) are shown for June 26th, 2018 before transformation (lefthand figure) and for June 14th, 2021 after transformation (righthand figure). The corresponding control station measurements are shown in the embedded upper-right corner plot. As seen, solar irradiance as well as other fluxes are greatly altered after conversion of the site with regard to the unaltered control station. The difference between periods (before/after) of the case-control difference allows us to statistically quantify this impact in the results section combining several days, following the procedure described above.

#### 2.3.2. Mobile measurements

In addition, mobile measurements were performed in order to study the spatial distribution of microclimatic conditions on site. While mobile measurements can capture spatial variations, they cannot capture temporal variations outside of the duration of a mobile measurement campaign, unlike fixed weather station measurements.

Stop-and-go mobile measurements were conducted twice, before conversion on June 26th 2018 and after conversion on September 9th 2021. Transects were performed on radiative days (anticyclonic conditions), following recommendations on optimized mobile measurement procedure regarding instrumentation stabilization time of ten to twenty minutes (Qi et al., 2021).

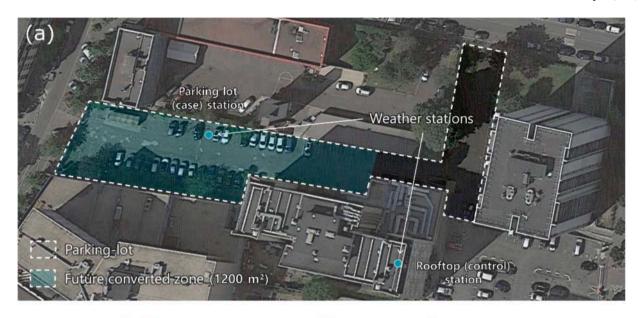
From these measurements, the Universal Thermal Climate Index (*UTCI*)-equivalent temperature was calculated (Fiala et al., 2012). Mobile measurements are compared to a reference (noted ref) stress level to account for weather differences between measurement campaigns conducted years apart. This reference level corresponds to a theoretical permanently-shaded sheltered courtyard where air temperature and relative humidity are those measured at the control (rooftop) station, while wind speed is assumed to be  $0.5 \, \text{m/s}$  at a height of  $1.5 \, \text{m}$  and mean radiant temperature (*MRT*) is assumed equal to the control air temperature (as for a heavily shaded area). The difference between the calculated *UTCI* at a given point of the sites and the reference *UTCI* at the same time allows us to estimate the over- or under-heating of the study point with regard to the reference *UTCI* at the same moment. This indicator, calculated using Eq. (2), is hereafter referred to as "thermal over-stress" and denoted  $\Delta UTCI$ .

$$\Delta UTCI = UTCI^{mobile}$$

$$- UTCI^{ref} \left( T_a^{control}, RH^{control}, MRT^{ref} = T_a^{control}, v^{ref} = 0.5 \text{ m/s} \right)$$
(2)

This methodology is used by Karam et al. (2022) to compare mobile data from different measurement campaigns in order to quantify the microclimatic effects of a site's transformation. Similarly, in the literature, some studies propose a control protocol to compare measurements within a campaign. For example, Leconte et al. (2015) compared the temporal mean of control areas to the spatial mean of the measurement points. For their part, Pigliautile and Pisello (2018) repeated the same routes in different orders each time, though multiple methods exist (Kousis et al., 2022; Xiong et al., 2022; Peng et al., 2022; Vasilikou & Nikolopoulou, 2020).

2.3.3. Summary of the measurement periods and parameters studied Table 4 summarizes the chronology of the measurements performed from 2018 to 2021 during summer periods (1st June to 30th Sept.).



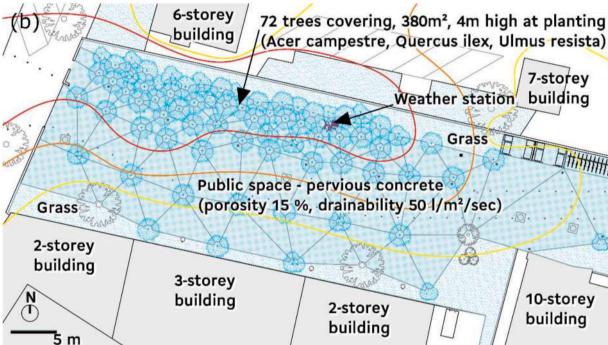


Fig. 3. Site map before conversion and weather station positions (a), and project plan (b). The case station is located in the parking lot, while the control station is located on a rooftop.

Results are presented on four radiative components (solar/infrared, incident/upwards), wind speed and direction, air temperature  $(T_a)$ , relative (RH) and absolute humidity (AH), radiative load  $(MRT-T_a)$  and UTCI-equivalent temperature. Indeed, AH is independent from  $T_a$  contrary to RH. Similarly, radiative load  $(MRT-T_a)$  is an independent variable contrary to MRT.

## 3. Results and discussion

## 3.1. Fixed microclimatic and radiative measurements

We now present the average effects (Eq. (1)) of the site's conversion. Those effects are representative of the impact of the conversion at the case station position (see Fig. 3). For each graph, assessment is based on 13 observation days before and 19 after renovation. Prior to the analysis,

raw data is smoothed with a ten-minute moving average and data is filtered for radiative days. Upcoming results are given in local time (UTC+2).

## 3.1.1. Average effects on radiation

The effects on the four radiative components measured 60 cm above ground level are shown in Fig. 6 (downward S and upward  $S_{up}$  solar radiation, and downward L and upward  $L_{up}$  infrared radiation).

The blue envelope represents the margin of error of the statistical test with a 95% confidence interval outside of which effects are statistically significant. The blue curve shows the average impact of conversion for each minute of the day. The average value of the statistically significant effects, their duration (in hours per day, rounded to the nearest 5-minute step) and the value of the maximum stat. sign. effects are summarized in Table 5. To further account for the robustness of the effects (both in

Table 1
Main characteristics of the site.

Studied area	$1200\ m^2,$ with surrounding LCZ 4, 2 and B				
Before conversion					
Pavement	Impervious asphalt concrete (albedo of 0.13)				
SVF	0.7 (at case station location)				
	After conversion				
Pavement	Pervious concrete (albedo of 0.24, porosity of 15%,				
	drainability of 50 L/m <sup>2</sup> /s)				
SVF	0.2 (at case station location)				
Vegetation	72 trees covering 380 m <sup>2</sup>				
Tree species	Acer campestre (maple), Quercus ilex (oak), Ulmus resista (elm)				
Plantation specificities	4-m-high trees when planted, unsealed roots in bare soil for mycorrhiza development				
Water collection	Passive underground rainwater storage (infiltration), run-off to trees via natural gradient				

amplitude and duration), a single statistical test is also performed on the whole dataset averaged over 24 h. The 24h-average value as well as the associated p-value are reported in Table 5.

As we can see, the impact of the transformation of the parking lot is quite significant. Looking at the details, it is clear from the observations that the shade provided by the trees planted in the immediate vicinity of the weather station as well as repaying of the area have a very strong, robust and long lasting impact on radiation throughout the day.

Shading provided by the trees causes a strong reduction of the incident solar radiation S, up to 561 W/m² around 1pm and by 222 W/m² on average. Reduction of S is stat. sign. more than 10 h per day (almost all of the insolation period). Also, as a result of replacing the asphalt concrete with an albedo of 0.13 with permeable concrete with an albedo of 0.24, reflected solar radiation  $S_{tip}$  increases up to 85 W/m² and by 28 W/m² on average, despite the significant decrease of solar irradiance. Those effects are stat. sign. about 12 h per day. Effects on solar radiation appear stronger in the morning and early afternoon, from 10 am to 1 pm approximately, as well as in the afternoon, roughly from 3:30 pm to about 6:30 pm depending on the parameter. This is likely correlated to the shading pattern offered by the planted trees.

Incident infrared radiation  $\boldsymbol{L}$  is found to marginally increase after

conversion of the site, by a relatively stable average of 43 W/m² with limited fluctuations. Most notably, the effect is always statistically significant throughout the 24h-day. This is likely due to the cover now offered by the surrounding trees, which reduces the sky view factor

**Table 2**Instrument type, measurement height and accuracy for the two fixed weather stations

Fixed weather stations				
Parameter	Instrument and manufacturer	Height	Accuracy	
Air temperature	Sheltered Pt100 (Guilcor)	1.6 m	± 0.3 °C	
Relative humidity	Sheltered capacitive hygrometer (HMP110, Vaisala)	1.6 m	$\pm~1.5\%$	
Black-globe temperature	Pt100 - ISO 7726 (Campbell Sci)	1 m	$\pm$ 0.2 °C	
Wind speed and direction	Propeller wind monitor (5103, Young)	1.8 m	$\pm$ 0.3 m/s, $\pm$ 3 $^{\circ}$	
Radiation (solar/ infrared)	Four component net radiometer (CNR1, Kipp and Zonen)	0.6 m	$\pm~2.5\%$	
Air pressure	Digital barometer (PTB210, Vaisala)	0.5 m	$\pm~0.3~\text{hPa}$	
Pluviometry	Rain gauge (3039, Précis Mécanique)	0.8 m	$\pm$ 5%	

**Table 3**Instrument type, measurement height and accuracy for the mobile weather station.

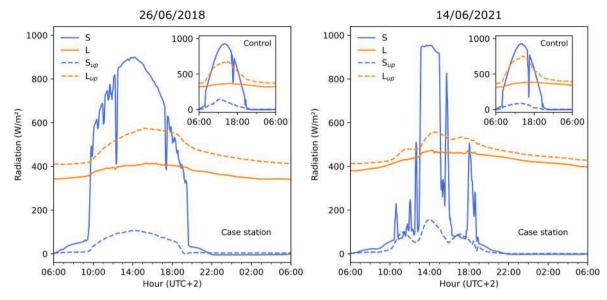
Mobile weather station			
Parameter	Instrument and manufacturer	Height	Accuracy
Air temperature	Sheltered Pt 100 (DMA672, LSI- Lastem)	1.5 m	$\pm$ 0.1 °C
Relative humidity	Sheltered capacitive hygrometer (DMA672, LSI- Lastem)	1.5 m	$\pm$ 1.5%
Black-globe temperature	Pt100 - ISO 7726 (EST131, LSI- Lastem)	1.5 m	$\pm$ 0.15 °C
Wind speed $(v)$	Hot-wire anemometer (ESV107, LSI-Lastem)	1.5 m	$\pm (0.05 + 0.05 \nu)$ m/s







Fig. 4. Rooftop (left) and parking lot (center) fixed weather stations, and mobile (right) weather station (before conversion of the site).



**Fig. 5.** Example of radiation for radiative days before (26/06/2018) and after (14/06/2021) conversion: global incident (S) and upwards  $(S_{up})$  solar radiation (blue lines), and incident (L) and upwards  $(L_{up})$  infrared radiation (orange lines), measured at the case (parking lot) station. The upper-right corner plots represent the same parameters measured simultaneously for the control (rooftop) station.

Table 4 Chronology of the measurements performed each summer (from  $1 \, \text{st}$  June to  $30 \, \text{th}$  Sept.).

#### Before conversion (summer of 2018)

- Continuous fixed measurements at case and control sites (13 radiative days)
- Mobile measurements on June, 26th from 2:30 pm to 5:30 pm (UTC+2)

## After conversion (summer of 2020 and 2021)

- Continuous fixed measurements at case and control sites (19 radiative days)
- $\bullet$  Mobile measurements on Sept., 9th from 2:30 pm to 5:30 pm (UTC+2)

(from 0.7 to 0.2) and thus mitigates radiative cooling. Finally, the upwards infrared radiation  $L_{up}$  is found to decrease, especially during the day (up to 67 W/m² and by 24 W/m² on average during more than 18 h). Upwards longwave radiation can either be reflected by the ground or directly emitted by thermal radiation. Given the high emissivity of standard pavement materials, the former mechanism usually represents a small proportion of  $L_{up}$  as compared to that of the latter. Therefore, the increase in  $L_{up}$  is most likely the result of the reduction of the pavement's temperature thanks to shading and higher albedo compared to the previous asphalt-concrete surface, as confirmed in similar studies (Bouzouidja et al., 2021b). As seen, although greater during the day, effects on  $L_{up}$  last until 3am the next day.

For both  $S_{up}$  and  $L_{up}$ , positions of the peaks roughly match those observed for incident solar radiation S which drives the behaviors observed for both of these parameters. All effects on radiation are extremely robust, as 24-h average tests all yield stat. sign. results (see Table 5), which indicate both strong and long-lasting effects.

Future tree growth will provide longer-lasting, more substantial shading that is likely to further reduce incident solar radiation (S), while reflected solar radiation ( $S_{up}$ ) underneath the trees should decrease, as less ground surface will be directly exposed to insolation. Further reduction of the sky view factor should further increase incident infrared radiation (L), while upwards infrared ( $L_{up}$ ) should arguably decrease as well, at least during the day while it is more debatable at night. While reductions of incident solar radiation S and upwards infrared radiation  $L_{up}$  should be beneficial to mitigate pedestrian heat stress, the increase of reflected solar radiation  $S_{up}$  as well as that of downwards infrared radiation L could lead to potential drawbacks, all else being equal.

#### 3.1.2. Average microclimatic effects

The microclimatic impacts of the site's conversion following the removal of the asphalt concrete pavement and the planting of trees are reported in Table 6, for air temperature, relative humidity and radiative load  $(MRT - T_a)$  as well as their combined impact on UTCI-equivalent temperature. Contrary to radiation, effects are representative of pedestrian height (between 1 m and 1.8 m depending on the parameter, see Table 2).

As for the effects on radiative fluxes, the microclimatic impact of the site's transformation is substantial. Globally, effects are extremely robust, with 24-h average tests yielding stat. sign. results (Table 6), with an exception for relative humidity. We start with the effects on air temperature and relative humidity, illustrated in Fig. 7.

Air temperature is significantly reduced all day starting from 10 am to 5 am the next day, with an interruption between 12:30 and 3:30 pm, which coincides with the increase in solar radiation observed roughly at the same time in Fig. 6. The maximum effect is  $-1.3\,^{\circ}\text{C}$ , peaking around 7 pm, with an average reduction of 0.5  $^{\circ}\text{C}$  for more than 15 h.

The presence of trees around the case station appears to have marginally increased relative humidity by +1.6% on average. Effects on relative humidity remain mostly within the margin of error of the test, with only minor significant effects lasting about 5 h in total. These minor changes do not have a significant impact on pedestrian heat stress given how dry conditions are to start with. A strong decrease is observed around 9 am, although the reason for it is unclear. As both variables are dependent, in order to clarify the impact of the variation of  $T_a$  on relative humidity, absolute humidity AH (g/m³) is plotted in Fig. 8.

AH seems to decrease in the morning and evening, while it increases in the afternoon, although these variations remain small. The maximum AH reduction is up to -0.3 g/m³ with an average stat. sign. drop of -0.2 g/m³ for about 16 h. As it stands, given  $T_a$  and RH, this means that the drop in air temperature is amplified by the decrease in absolute humidity. Since AH is likely to increase with future tree growth, this could counterbalance the drop in air temperature.

Fig. 9 shows the average effects of the conversion on the radiative load (mean radiant temperature minus air temperature,  $MRT - T_a$ ) and UTCI-equivalent temperature (note that results were found to be extremely similar between MRT and radiative load).

For  $(MRT - T_a)$ , three distinct major peaks of reduction are observed, respectively between 10 am to 12:30 pm, 2 to 5:30 pm and 6:30 to 8:00 pm. These are principally attributable to morning and afternoon shade,

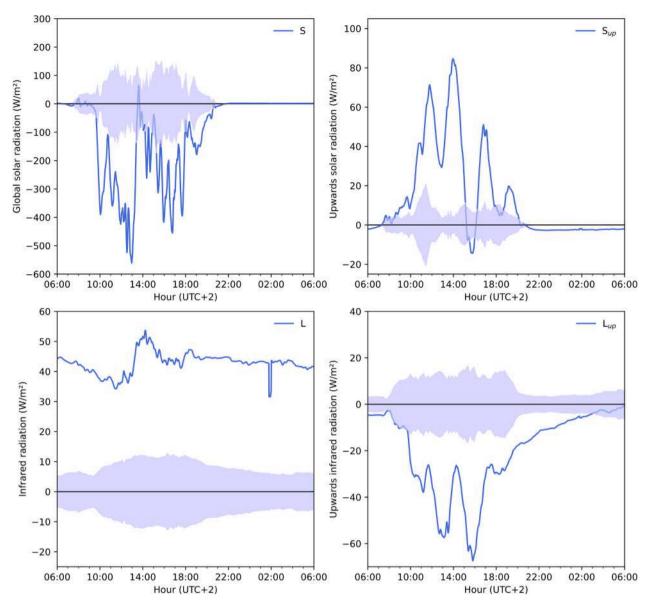


Fig. 6. Average radiative effects of the site's conversion. (S): Solar incident radiation, ( $S_{up}$ ): upwards solar radiation, (L): infrared incident radiation, ( $L_{up}$ ): upwards infrared radiation. The filled area is the margin of error with a 95% confidence interval, outside of which average effects (blue curve) are statistically significant (assessment based on 13 observation days before and 19 after renovation).

Table 5
Mean, maximum and duration of the statistically significant effects of the site's conversion on radiation at case station location, 24h-average effect and associated p-value if statistically significant.

	Solar rad	iation	Infrare radiati	-
	s	$S_{up}$	L	$L_{up}$
Average value of the stat. sign. events $(W/m^2)$	-222	+28	+43	-24
Duration of the stat. sign. events	10h40m	12h	24h	18h20m
Maximum stat. sign. effect (W/m <sup>2</sup> )	-561	+85	+54	-67
24h-averaged value (W/m²)	-102	+13	+43	-20
p-value associated with the 24-h average	1.1e-9	1.0e-	2.9e-	4.1e-7
if stat. sign.		9	9	

causing a very substantial maximum reduction in the radiative load of up to 27 °C, for an average reduction of all stat. sign. effects of 8.2 °C for more than 19 h. The newly planted trees reduce SVF (from 0.7 to 0.2 here), thus strongly reducing the radiative load in daytime (Tan et al.,

**Table 6**Mean, maximum and duration of the statistically significant microclimatic effects of the site's conversion at case station location, 24h-average effect and associated p-value if statistically significant.

	$T_a$	RH	$MRT-T_a$	UTCI
Average value of the stat. sign. events	−0.5 °C	+1.6%	−8.2 °C	−3.1 °C
Duration of the stat. sign. events	15h20m	5h40m	19h35m	11h10m
Maximum stat. sign. effect	−1.3 °C	+2.3%	−27 °C	−6.2 °C
24h-averaged value	−0.3 °C	Not stat. sign.	−7.5 °C	−1.4 °C
p-value associated with the 24-h average if stat. sign	2.0e-4	Not stat. sign.	1.4e-13	5.9e-4

2016). In a simulation study on the effects of vegetation for Montreal, Wang and Akbari (2016) showed that planting vegetation with a reduction of *SVF* from 0.7 to 0.2 led to respective reductions at midday and midnight of air temperature up to 3.8 °C and 2.2 °C, and of *MRT* up to 36.5 °C and 1.8 °C. We find similar results here for daytime.

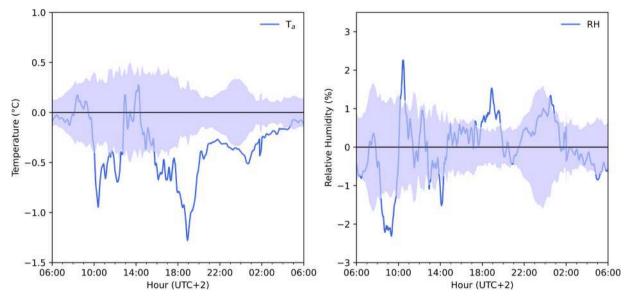


Fig. 7. Average microclimatic effects of the site's conversion.  $(T_a)$ : air temperature, (RH): relative humidity.

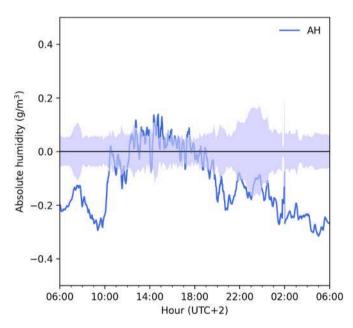


Fig. 8. Average effects of the site's conversion on absolute humidity (AH).

The shape and position of cooling peaks on  $(MRT-T_a)$  have direct repercussions on UTCI. The maximum UTCI reduction reaches  $-6.2\,^{\circ}\mathrm{C}$  at 10:30 am for an average reduction of  $-3.1\,^{\circ}\mathrm{C}$  during about  $11\,\mathrm{h}$  per day. At night, a marginal stat. sign. increase of up to  $3\,^{\circ}\mathrm{C}$   $(MRT-T_a)$  is observed, most likely because of the increase of incident infrared radiation L (see Fig. 6) which is the only parameter likely to increase radiative load at that time given the results. This is found to be inconsequential on the average effect on UTCI, which, at night, oscillates around zero and remains within the margin of error of the test as early as 8:30 pm. Similarly, Milošević et al. (2017) reported simulated UTCI reductions of up to  $3.3\,^{\circ}\mathrm{C}$  following the greening of a parking lot.

It is to be noted, however, that both the radiant load and *UTCI* increase briefly at the very start of insolation around 8 - 9 am by approximately 7° and 3 °C, respectively. During that period, the amount of solar radiation reflected by the pavement has already increased due to the higher pavement albedo (0.24 vs 0.13), while incident solar radiation has not yet decreased (Fig. 6). This suggests that the configuration

of the site in the early morning is such that trees do not yet provide shade to the sensors, while the higher albedo of the ground is increasing mean radiant temperature. As such, the situation is detrimental to pedestrians, although the effect is relatively weak and short in duration. Indeed, reflective pavements are identified in the literature as an UHI-mitigation strategy, but the effect on thermal comfort is unclear and remains understudied. Many studies reported significant reductions of surface temperature, and sometimes air temperature in open urban spaces, all the more with low wind speeds (Santamouris, 2013; Taleghani, 2018). However, other studies have reported little effect or even increases of air temperature, while reporting significant increases of MRT and thus a deterioration of pedestrian thermal comfort (Lopez-Cabeza, Alzate-Gaviria, Diz-Mellado, Rivera-Gomez, & Galan-Marin, 2022; Taleghani, 2018). These same studies recommend medium albedo for balancing positive and negative effects, and suggest vegetation as a better choice for limiting pedestrian heat stress.

Our results also show a minor deterioration of UTCI between 1-1:30 pm as well. Although the radiative load  $(MRT - T_a)$  is reduced at that time, the signal rises slightly as does that of air temperature, which could possibly explain this observation.

## 3.1.3. Average effects on wind speed

A histogram of wind speed and direction at the case station for all radiative days is shown in Fig. 10. The statistical impact of the conversion on wind speed is shown in Fig. 11 and summarized in Table 7. As can be seen, tree planting has modified the airflow pattern of the site, and a slight change of direction is exhibited. Wind speed is reduced up to 1.22 m/s, and by 0.65 m/s on average. However, wind speed reduction is found inconsequential on pedestrian heat stress (which is improved), as seen previously.

Wind speed reductions consecutive to tree planting may be responsible for higher levels of air pollution (Arghavani et al., 2020), and may slow down cooling of the area, especially at night. Van Hove et al. (2015) showed that a wind speed reduction of 1 m/s lead to an increase in Physiological Equivalent Temperature (*PET*) of 9 °C from fixed measurements in Rotterdam, all else being equal. Morakinyo et al. (2017) found that trees improved daytime thermal comfort in dense urban environments, but trapped infrared radiation and reduced wind circulation, which caused worsening of thermal comfort at night, especially in deep urban canyons. They recommend taller trees with low canopy density in deep urban canyons (H/W>1), and the opposite for open-areas (H/W<1). It is noteworthy that wind speed could be tested

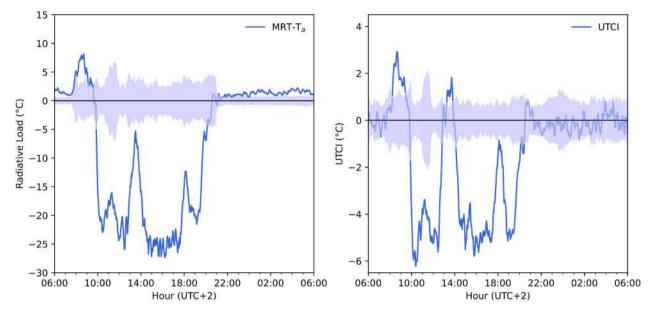


Fig. 9. Average microclimatic effects of the site's conversion. (MRT - T<sub>a</sub>): radiative load, (UTCI): UTCI-equivalent temperature.

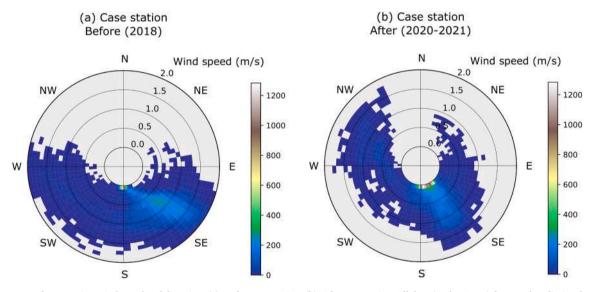


Fig. 10. Histogram of case-station wind speed and direction. (a): Before conversion, (b): After conversion. All data (each minute) from each radiative day is used. The color bar is the occurrence based on a binning of 0.1 m/s for wind speed and 5° for wind direction.

for other weather conditions than radiative days to study the impact of trees on more wind patterns, although we did consider that for this paper.

## 3.2. Mobile microclimatic measurements

We now present results of the microclimatic mobile measurements for characterizing heat stress at pedestrian height in several locations of the site, which could be less favorable to pedestrians than where the fixed case station for fixed measurements. Two mobile measurement campaigns are presented (before/after conversion). Fig. 12 shows meteorological conditions measured at the control (rooftop) station during each mobile measurement campaign. Mobile measurements were carried out between 2:30 pm and 5:30 pm. The corresponding time window is highlighted in gray in Fig. 12. Due to datalogger error, no data was recorded from 2 am to 6 am (day+1) during the second mobile campaign in 2021, though irrelevant here. Weather conditions were quite similar between mobile campaigns, though slightly hotter and

more humid after conversion, and with less incident solar radiation. Differences in radiation tend to shrink in the afternoon, when measurements were performed.

The mobile measurement points within the site are shown in Fig. 13a (point 2 is located near the fixed case weather station). Fig. 13b and c respectively show thermal over-stress  $\Delta UTCI$  (see eq. (2)) at the six measurement points before and after renovation. Note that point 3 was not shaded by the adjacent building: it was in the sun in 2018 and in very sparse tree shade in 2021, since fewer trees were planted in this area. Point 4 was completely shaded by the building both in 2018 and 2021, and point 5 was in the sun for both campaigns.

Points 4 and 5 are located outside of the renovation area and can therefore be considered as reference points where no change in conditions is expected. Despite the lack of renovation in these two areas, we note that the value of  $\Delta UTCI$  is not constant. Differences in weather conditions between campaigns could explain this (in particular for wind and radiation, which we use to select radiative days). Solar radiation was indeed lower post-renovation, as was MRT (Fig. 12). However, we

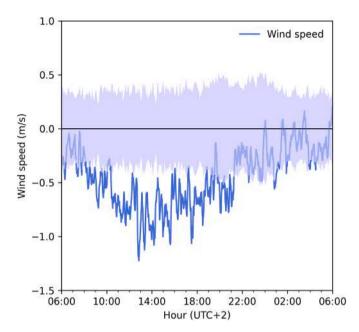


Fig. 11. Average effects on wind speed of the site's conversion.

**Table 7**Mean, maximum and duration of the statistically significant effects on wind speed of the site's conversion at case station location, 24h-average effect and associated p-value if statistically significant.

Wind	speed
Average value of the stat. sign. events $-0.65$	5 m/s
Duration of the stat. sign. events 14h	
Maximum stat. sign. effect $-1.22$	2 m/s
24h-averaged value -0.46	5 m/s
p-value associated with the 24-h average if stat. sign 2.0e-4	4

emphasize that differences in weather conditions between campaigns has a limited impact, since mobile measurements are analyzed relative to control measurements performed simultaneously (eq. (2)). In principle, comparison pre- and post-states should be realistic as long as the control station remains unchanged and mobile measurements are performed during radiative days. Nonetheless, in reality local microclimatic fluctuations influence the thermal footprint of points 4 and 5 and of the control (despite our radiative day criteria). Overcoming this would translate to switching to a statistical method averaging several radiative days pre- and post-conversion, i.e. as for the fixed measurements method presented in Section 3.1. The fluctuation of  $\Delta UTCI$  between campaigns for points 4 and 5 thus provides a rough estimate of the uncertainty associated with our mobile methodology, which is about 1 - 2 °C. Results of Fig. 13 within this range should thus be considered with reserve.

At points 1, 2, and 3, the impact of the site's conversion is manifest: we observe that  $\Delta UTCI$  decreases strongly between 2018 and 2021. The most important reduction is observed at point 2 for which  $\Delta UTCI$  decreases by about 8 °C after renovation. Yet, as can be seen in Fig. 14 (close-up of weather data measured at the mobile points) wind speed was slightly lower in 2021, while the difference in air temperature with the control (rooftop) air temperature ( $T_a - T_a^{control}$ ) was relatively similar between campaigns. This reduction in  $\Delta UTCI$  is therefore mainly explained by a significant decrease in relative radiant load, as shown in Fig. 14.

The reduction in thermal over-stress exhibited here at points 1, 2 and 3 is all the more significant as vegetation grew thicker and taller between 2020 (newly planted trees) and 2021 (post-renovation measurement campaign), as illustrated in Fig. 15.

The spatial cartography of the site shows that areas shaded by

buildings, i.e. points 4 and 6, remain by far the most beneficial areas for pedestrians in terms of heat stress. These points offer almost no thermal over-stress with regard to the reference situation once the experimental protocol's uncertainty is accounted for. Similar results were found by Shashua-Bar et al. (2011) comparing tree-shade and directly exposed areas

Points 1 and 2 (and to a lesser extent point 3) exhibit moderate relative heat stress (with regard to the reference *UTCI*), which, as already discussed in the paragraph above, was greatly reduced following the conversion of site. Compared with points 4 and 6, these points exhibit sparser shade compared to buildings as the trees are still young. In addition, the northern side of the site (where points 1 and 2 are located) was specifically densely vegetated because of its naturally higher exposure to the sun, hence conditions are harsher to begin with in this area. Thermal stress at points 1, 2 (and 3) is likely to decrease with tree growth in the future, while that of points 4 and 6 should remain globally unchanged.

In contrast, the least favorable areas for a pedestrian are point 5, followed by point 3, which both offer very strong to strong heat overstress after conversion. Indeed, point 5 (not transformed) is under the sun and presents a dark impervious asphalt pavement. For its part, although point 3 is located within the renovation area with permeable concrete, it is located in a sparsely planted area and is in the sun most of the time. Point 3 shows a weak improvement of thermal stress between 2018 and 2021, considering the uncertainty of the method. This suggests that the effect of the permeable concrete (with an albedo of 0.24 vs 0.13 for the old asphalt concrete) on heat stress, if existent, remains very limited (whether beneficial or detrimental) contrary to the impact of shade that is quite clear (points 1 and 2). Fixed station measurements corroborate this, showing that the increase in  $S_{up}$  is more or less compensated by the decrease in  $L_{up}$  near point 2. This observation for point 3 is not sufficient to determine the cause of the deterioration of heat stress in the early morning observed in Fig. 9 with the fixed stations, which we suspect could be caused by the higher albedo of concrete. This therefore still needs additional investigations to conclude.

Our results confirm how exposition to direct solar radiation is critical for pedestrian heat stress (Xiong & He, 2022; Xie et al., 2022). It is noteworthy that even small changes in heat stress after conversion can still be pleasurable for pedestrians (Dzyuban et al., 2022).

## 4. Conclusion and recommendations

The effects on microclimate of the conversion of a parking lot into a small urban forest with 72 trees and permeable concrete were determined. We proposed a monitoring protocol combining fixed and mobile measurements during summer in order to, respectively, estimate robust statistical effects over 24 h constructed with several days of observation, and compare spatial distribution of heat stress pre- and post-conversion, both regardless of differences in weather conditions. Future tree growth will likely increase shade and hence all of the observed microclimatic effects summarized hereafter.

Where trees were densely planted, extremely statistically robust effects were exhibited, both in amplitude and duration. Underneath the trees, solar radiation and upwards infrared radiation decrease, while upwards solar radiation and incident infrared radiation increase slightly, following the creation of shade and the higher pavement albedo (reduced *SVF* and reduced pavement temperature). Accounting for all above radiative components, the radiative load on the pedestrian is thus significantly reduced (by  $-175~\rm W/m^2$  on a 24 h average, and up to  $-490~\rm W/m^2$  at most).

The newly designed area also exhibited reductions in air temperature, radiative load ( $MRT-T_a$ ), wind speed and a slight increase of relative humidity. Despite negative effects on RH and wind speed, other effects on radiation and microclimate translated into a strong and durable benefit for heat stress (UTCI) at pedestrian height, by  $-3.1\,^{\circ}$ C on a 24 h average, and up to  $-6.2\,^{\circ}$ C. Effects on UTCI are very substantial

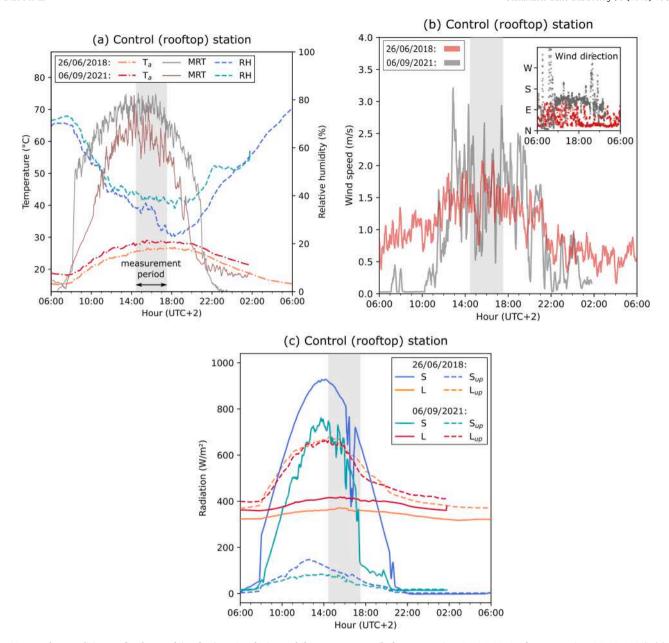


Fig. 12. Weather conditions at fixed control (rooftop) station during mobile measurements (before conversion: 26/06/2018, after conversion: 06/09/2021). (a): air temperature ( $T_a$ ), mean radiant temperature (MRT), and relative humidity (RH), (b): wind speed and wind prevalent direction, (c): incident and upwards solar radiation (resp. S and  $S_{up}$ ), incident and upwards infrared radiation (resp. L and  $L_{up}$ ).

during the day, though practically non-existent at night, contrary to most of the other parameters.

The spatial characterization of heat stress on the site showed that thermal over-stress is strongly reduced where the most trees were planted (—8 °C between campaigns) due to a reduction of the radiative load as a result of shading. Shade from newly planted trees offered the second most beneficial spot for pedestrians, despite the surroundings being highly exposed to the sun, while the most pleasurable spots are located near circling buildings, which almost permanently provide substantial shade compared to that provided by young trees. Unsurprisingly, unpleasant areas are those directly exposed to the sun, with little perceived difference between pavements (asphalt concrete versus permeable concrete).

While fixed monitoring has sufficient data to ensure a certain degree of statistical robustness, small differences in mobile measurements before and after conversion should be considered with caution. Further mobile campaigns are necessary to address the lack of hindsight

associated with the proposed mobile measurement methodology.

Our findings can be useful to urban planners who seek guidelines for designing green urban areas for heat mitigation. Results confirm previous research that urban greening has an extremely positive impact on pedestrian thermal comfort, especially during the day when heat stress is the highest. The best areas for greening are thus highly open and exposed ones. For open urban settings, planting trees with a high density in areas directly exposed to sunlight to provide extensive shading is recommended in order to improve effectiveness on heat stress. This is particularly important given that the range of the shading effects is spatially limited. Finally, to ensure that pedestrians benefit most from the design, the area underneath the trees should be made accessible and attractive, for instance with sitting amenities.

Our findings also showed some negative effects, such as wind speed reduction and an increase of infrared radiative trapping at night due to the reduction of the sky view factor. For now, this is inconsequential on heat stress, but radiative cooling could further reduce with tree growth,

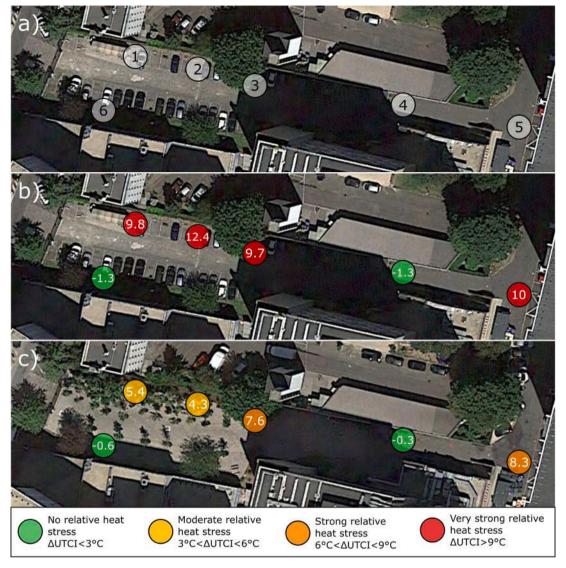
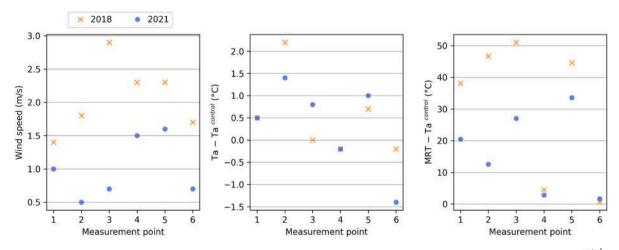


Fig. 13. a) Measurement points, b) thermal over-stress before renovation (June 2018), c) thermal over-stress after renovation (September 2021).



**Fig. 14.** Mobile weather data for both mobile campaigns. Left to right: wind speed, air temperature difference with control air temperature  $(T_a - T_a^{control})$  and relative radiant load  $(MRT - T_a^{control})$ .

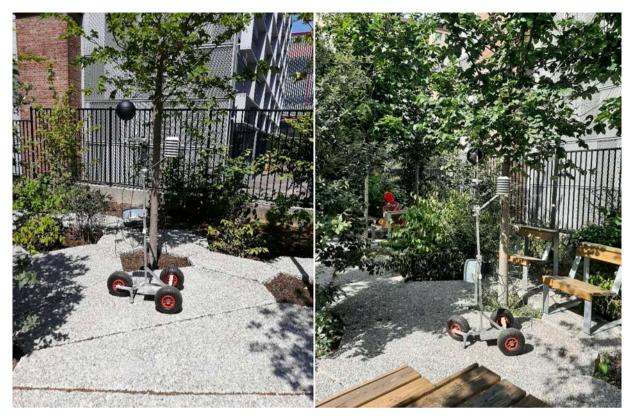


Fig. 15. Mobile weather station at point 1 in 2020 (left) and in 2021 (right).

as could convective cooling due to the decrease of wind circulation. For this reason, for denser, more compact areas (urban canyons, narrow streets etc.), we recommend meticulous planning and sparser tree planting, to avoid accumulated infrared trapping during nighttime, where wind circulation is already limited due to the urban configuration. This could worsen thermal comfort. For such configurations, removable shading devices could be used daytime and removed night-time to maximize radiative cooling and wind circulation.

Building shade was also found to be beneficial for pedestrians, though less attractive than greenery. Clever urban design should take advantage of existing shade and consider pedestrians' behavioral patterns to enhance and propose pleasant paths combining several solutions (building shade, trees, removable artificial shade). It is however noteworthy that trees provide multiple other benefits and should be privileged when possible. Care should be given to water availability. Pervious materials with underground rainwater storage systems – as is the case in this urban project – serve this need.

Finally, our results tend to indicate a negative effect of the higheral bedo concrete in the morning before the fixed station is shaded by the trees with a brief increase in heat stress of 3  $^{\circ}$ C *UTCI*. Reflective pavements should preferentially target open areas with high sky view factors to avoid radiative trapping. Effect of low *SVF* on air pollution was not studied in this paper but could be interesting future work.

## **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have altered the validity of the work reported in this paper to any extend.

## Data availability

The authors do not have permission to share data.

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